

Frequently Asked Questions

FOR ALL

EO 13929 and the DOJ *Standards of Certification* apply to which entities?

The EO and DOJ *Standards of Certification* are applicable to state, local, and university or college law enforcement agencies (LEA) seeking DOJ discretionary (i.e., competitive) grant funding. They do not apply to tribal law enforcement agencies.

How are law enforcement officers or deputies defined?

A law enforcement officer or deputy is a state, local, or university or college employee or volunteer who is licensed under applicable state law or certified by an applicable POST commission.

Are state, county, or local agencies that house offenders but do not have arrest authority or who are not otherwise considered by the state to be an LEA required to be certified?

Agencies that employ officers who meet the definition of a law enforcement officer as described in the EO and prescribed by state statute or regulatory measure should be certified.

Are parole and probation agencies required to be certified?

If the parole and probation agency (1) employs officers who meet the definition of a law enforcement officer as described in the EO and prescribed by state statute or regulatory measure and (2) applies for federal funding from a DOJ component, the agency should be certified.

Are methods to reduce blood flow included in the definition of a choke hold?

According to the EO, a choke hold is defined as "a physical maneuver that restricts an individual's ability to breathe for the purposes of incapacitation." Therefore, methods to reduce blood flow are not included.

What does "in process of certification" mean?

A law enforcement agency is considered in the process of certification if they have contacted an independent credentialing body to begin the process of certification. For the purposes of applying for an award, the law enforcement agency will need to affirm that they are in process of certification with the funding application.

Where do I go if I have questions or receive inquiries?

For questions related to the certification process, we encourage you to reach out to your state or national certification organization. Updated information will continue to be posted to the COPS Office website at <https://cops.usdoj.gov>, and you may also contact the COPS Office at 800-421-6770.

FOR INDEPENDENT CREDENTIALING BODIES

What entity will serve as the primary credentialing or certifying body for law enforcement agencies seeking certification?

In states where there is a state accreditation program, that accreditation program will serve as the primary credentialing or certifying body. If the program has or experiences a capacity challenge (meaning the volume of requests exceeds its capabilities), the default credentialing or certifying body will be the respective Peace Officer Standards and Training (POST) board. In states without an existing accreditation program, the state POST will be responsible for certifying agencies. IADLEST will be available to provide assistance to these states without certifying agencies.

The Commission on Accreditation for Law Enforcement Agencies (CALEA) will serve as the certifying body for its client pool; however, if a requesting agency would prefer certification from its state accreditation program (if a program exists in its state), the state accreditation program will serve as the certifying body.

Frequently Asked Questions, *cont'd*

The International Association of Campus Law Enforcement Administrators (IACLEA) will serve as the certifying body for its client pool of colleges and universities; however, if a requesting law enforcement agency prefers to be certified by a state accreditation program, the state program will serve to certify the agency.

What processes will certifying entities use to issue their certifications?

Each individual certifying entity will develop its own locally tailored process. Some may conduct policy reviews of law enforcement agencies to ensure that they are compliant with the two mandatory requirements of the EO. Other entities may request that law enforcement agencies provide a statement of declaration attesting that agency policy has been reviewed and is compliant with the EO. The statement of declaration is a legally binding document and assures that the agency head has reviewed the policy or policies and complies with the two mandatory requirements of the EO. Other certifying entities may require both a policy review and a statement of declaration or other means that they develop to assure that agencies are in compliance. Beginning in 2021, on or before January 31 of each year, independent credentialing bodies must provide the names of each certified law enforcement agency to the Director of the COPS Office or his or her delegate. Each certified law enforcement agency is qualified to receive DOJ discretionary funds for up to (i) 36 months from the date of its most recent certification by an independent credentialing body or (ii) the life cycle of any discretionary grant awarded, whichever is longer.

Should the credentialing bodies review the policies after the LEA has certified compliance?

There are three approaches to certifying law enforcement agencies to meet the requirements of the EO:

1. The chief, sheriff, or chief executive officer of the law enforcement agency submits to the certifying body a “letter of declaration” attesting that their agency is in compliance with the two mandatory conditions of the EO.

2. The certifying body may choose to review the use of force policies for compliance with the understanding that the certification applies only to the mandatory requirements. This option will serve two purposes: (1) for the certifying body to develop a comprehensive set of best practices and (2) to determine which agencies are including in their respective policies the “should” principles spelled out in the EO.
3. This option is a combination of the first two options whereby the certifying body accepts a “letter of declaration” for certification but will also at a later date review the other “should” principles.

We plan on having law enforcement agencies submit a letter of declaration for the certification process. Is an agency allowed to submit the letter with an electronic signature?

The COPS Office will accept an electronic signature on documents submitted to us. However, agencies should consult with state and local laws to determine if electronic signatures are allowed on legal documents.

Are the independent credentialing bodies authorized to start work right away?

Yes. The independent credentialing bodies are authorized to start certifying law enforcement agencies.

Who can help the credentialing body develop its process for certification, particularly if it doesn't already have one?

For existing state accreditation programs that do not have an established process, programs in Texas, Michigan, Tennessee, and New Jersey are available to provide technical assistance at no cost to assist in the development of a process, forms, etc. For states without an accreditation program, IADLEST will provide technical assistance at no cost to assist in the development process (including forms, etc.).

Frequently Asked Questions, *cont'd*

I am an independent credentialing body.

How do I submit my list of certified agencies?

Certifying entities will be provided a standardized spreadsheet on which to capture the list of agencies they have certified. Credentialing bodies will be provided additional information on the submission process.

What identifiers will need to be collected from agencies applying for certification?

The COPS Office will need to have the following identifiers to be able to match lists obtained from the independent certifying bodies to agencies applying for funding:

- Originating Agency Identifier (ORI)
- Employee Identification Number (EIN)
- Data Universal Number System (DUNS) number
- Agency name
- Agency address
- The name of the agency's chief executive.

ORI is assigned by the Federal Bureau of Investigation for crime reporting. EIN is assigned by the Internal Revenue Service and can be found on an employee's W2 or payroll statement. A DUNS number is a unique nine- or 13-digit sequence recognized as the universal standard for identifying and keeping track of entities receiving federal funds.

When is the list of certified agencies due to the COPS Office?

Please submit your list of certified agencies to the COPS Office each year by January 31.

How were the credentialing bodies identified?

The agencies that have been initially identified to serve as the credentialing bodies are organizations that are recognized for routinely establishing law enforcement standards and processes for reviewing adherence to those standards. The Attorney General has sole discretion for the selection of these organizations.

How can corrections be made to the names of participating credentialing bodies?

If a credentialing body has an update to how their name is listed, please contact the COPS Office.

Is there a process of becoming a DOJ-approved independent credentialing body?

The Attorney General has already identified numerous entities to serve in this capacity. However, an entity inquiring about becoming a DOJ-approved independent credentialing body can petition the DOJ by submitting an application to the Director of the COPS Office. Applications should include (1) the name of the entity, (2) point of contact and contact information, and (3) detailed information as to why the entity requires the ability to serve as an independent credentialing body.

FOR LAW ENFORCEMENT AGENCIES

As it relates to certification of safe policing standards, what does an LEA that plans to apply for DOJ discretionary grant funds in fiscal year (FY) 2021* need to do?

LEAs that plan to *or could* apply to receive DOJ discretionary grant funds should begin reviewing their policies to determine whether they meet the criteria as set forth in the *Standards of Certification*. LEAs can contact the DOJ-approved independent credentialing body or bodies in their state or a nationally recognized entity with questions and assistance. Those LEAs that believe they comply with the *Standards of Certification* can immediately initiate a letter to one of the independent credentialing bodies seeking further review and approval. LEAs that plan to apply for DOJ discretionary grant funds for FY 2021 must be certified or have started the certification process at the time of grant application.

* FY 2021 grant solicitations occur between October 1, 2020, and September 30, 2021.

Frequently Asked Questions, *cont'd*

Does the certification requirement apply to funds awarded prior to FY 2021?

No, the certification requirement does not apply to existing awards. The certification requirement will be applicable beginning with FY 2021 funds and going forward.

Will an LEA be permitted to apply for FY 2021 discretionary grant funds if it is not on the list of certified agencies submitted to the COPS Office by January 31, 2021?

Yes, applicants must affirm that they are certified or in the process of becoming certified as complying with safe policing practices at the time of making a grant application. Being in the process of receiving certification means that the LEA must have contacted a local credentialing body. Applicant agencies that are in the process of certification and that are selected to receive discretionary grant funds must be certified by the time they accept the grant award.

Do these requirements apply to LEAs receiving subawards from a primary grant recipient under a DOJ discretionary grant program?

Yes, DOJ discretionary grant program recipients are required to apply these eligibility requirements to any subrecipient state or local law enforcement agency. The EO does not apply to statutory-formula grant programs and therefore also does not apply to subawards made by statutory-formula grant recipients.

Will there be language about the *Standards of Certification* in the FY 2021 solicitations?

Yes. Specific language regarding certifications will be included in all FY 2021 solicitations.

Will the credentialing bodies charge a fee to certify pursuant to the EO and DOJ Standards?

Some credentialing bodies could charge a nominal fee to certify law enforcement agencies. LEAs can contact the DOJ-approved independent credentialing body or bodies in their state or a nationally recognized entity with questions and assistance.

What if there is not a credentialing body in the state?

LEAs that do not have a DOJ-approved independent credentialing body in their state may use one of the following approved nationally recognized entities:

- The Commission on Accreditation for Law Enforcement Agencies, Inc. (CALEA)
- International Association of Campus Law Enforcement Administrators (IACLEA)
- International Association of Directors of Law Enforcement Standards and Training (IADLEST)

Can I use my CALEA or IACLEA accreditation in lieu of certification?

Agencies that have current active accreditation from CALEA or IACLEA may request certification from the respective agencies, and certification may be issued using the existing accreditation as a basis provided the required safe policing principles are also taken into consideration.

Is there recourse if my application for certification is denied?

Applying agencies denied certification by an independent credentialing body may make an appeal to the Deputy Attorney General for review and relief.

How often do I need to renew my certification?

The certifications will be valid for a three-year period and will need to be renewed according to that schedule.